

## BlockFi Capping Motion Response Portal

### Introduction

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On December 20, 2023, BlockFi, as supervised by the Plan Administrator, filed the Plan Administrator's Motion for an Order Capping the Maximum Allowable Amounts and Establishing a Reserve for All Claims to Enable the First Interim Distribution (the "Motion"). If you filed a claim against BlockFi and your claim is affected by the Motion, the Motion and information pertaining to your claim was sent to you by Kroll Restructuring Administration LLC ("Kroll") by email or first class mail on December 26, 2023.

In order to assist the Court with collecting responses to the Motion, Kroll is collecting responses electronically through this portal or by hard copy at the address below. **For your response to be timely, it must be received by Kroll on or before January 9, 2024 at 4:00 p.m. prevailing Eastern Time.**

#### First Class Mail

BlockFi Inc. Cap Response Processing Center  
c/o Kroll Restructuring Administration LLC  
Grand Central Station, PO Box 4850  
New York, NY 10163-4850

#### Overnight Mail

BlockFi Inc. Cap Response Processing Center  
c/o Kroll Restructuring Administration LLC  
850 Third Avenue, Suite 412  
Brooklyn, NY 11232

Please follow the steps below to logon to this portal and to submit your response to the Motion. If you have any questions on this portal please feel free to reach out to Kroll by phone at (888) 773-0375 (Toll Free) or (646) 440-4371 (International), or by email at [blockfiinfo@ra.kroll.com](mailto:blockfiinfo@ra.kroll.com).

### Authorization

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Please enter your Unique ID here

[REDACTED]

☐ Where can I find my Unique ID?

### Claim Information

Claim Number

21464

Claim Name

[REDACTED]

Claimant Address on File

[REDACTED]

**To the extent different from the pre-populated address above, please provide your or your attorney's name, address, telephone number, and email address where the attorneys for the Debtors should serve a reply to the Response you are submitting on this portal.**

Name:

[REDACTED]

Street1

[REDACTED]



Street2

City

State

Zip

Country

Telephone Number

Email Address

To the extent different from the pre-populated address above, the name, address, telephone number, and email address of the party with authority to reconcile, settle, or otherwise resolve the Motion on your behalf.

Name:

Street1

Street2

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State

Zip

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## Document Upload

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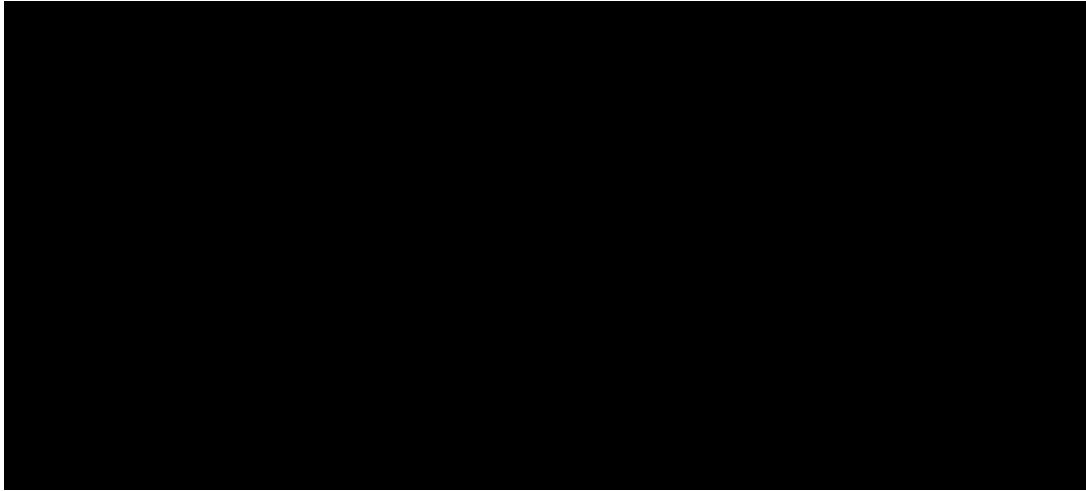
Upload Here

 Response to 'The Plan Administrator's Motion for an Order Capping the Maximum Allowable Amounts and Establishing a Reserve for All Claims to Enable the First Interim I



Signature

Sign Here



Print Name

[Redacted]

Email Address

[Redacted]

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Response to 'The Plan Administrator's Motion for an Order Capping the Maximum Allowable Amounts and Establishing a Reserve for All Claims to Enable the First Interim Distribution.'

Unique ID: [REDACTED]

It appears that my claim amount is denominated in US dollars, rather than in the cryptocurrency held in my BlockFi Interest Account at the time of lockout.

The motion asserts that these values are unknowable in US dollars and fluctuate significantly. An appropriate response would be to evaluate price movements between the time of lockout and the present day, and apply some multiple or coefficient to determine the maximum allowable amount. If this is impractical for every coin held on the platform, it is at least practical for the largest such as Bitcoin or Ethereum.

To denominate my claim in US dollars is to essentially force me to sell my assets at an arbitrary date, creating taxable events, and then hold the proceeds without interest for an indeterminate amount of time.

Wallet account holders were permitted to withdraw their assets denominated in cryptocurrency, I see no reason why Interest account holders should not be afforded the same courtesy.

Finally, I failed to understand from the Motion what constitutes a "Scheduled Claim" and why my account is not matched with such a claim.

Thank you,  
[REDACTED]



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Please enter your Unique ID here

[REDACTED]

☐ Where can I find my Unique ID?

### Claim Information

Claim Number

21531

Claim Name

[REDACTED]

Claimant Address on File

[REDACTED]

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Name:

[REDACTED]

Street1

[REDACTED]



Street2

City

State

Zip

Country

Telephone Number

Email Address

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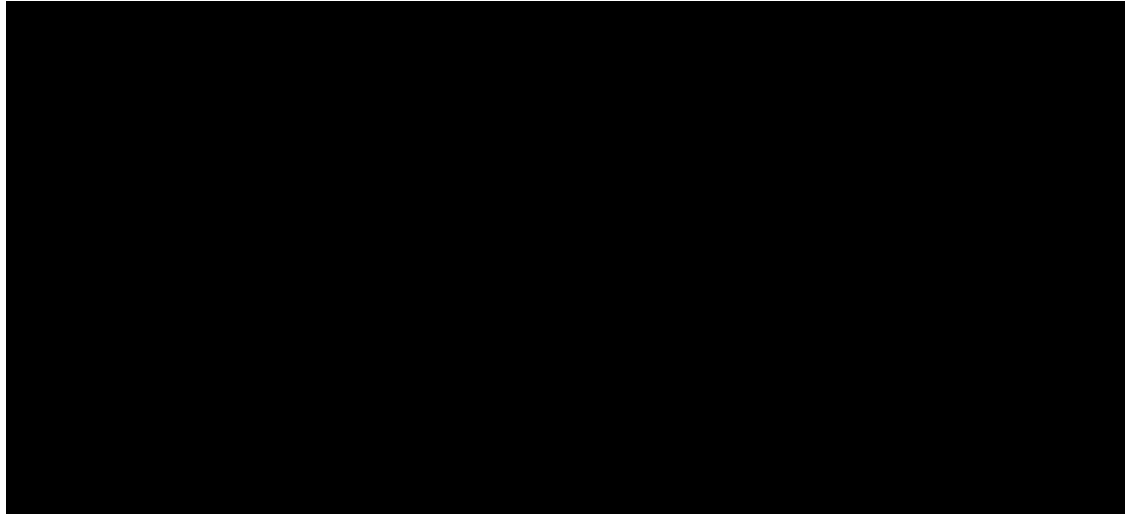
Upload Here

 block fi.pdf	41 KB
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Signature

Sign Here



Print Name

[Redacted]

Email Address

[Redacted]

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I OBJECTION, I WANT TO CLAIM MY 52 DOLLAR. THIS'S JUST  
TOKEN FOR WHAT I INVESTED IN MY CRPTOCURRENCY  
TRADE IN ORDER NOT TO LOSE ALL MY CAPITAL INVESTED.  
THANK YOU.

[REDACTED]



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[REDACTED]

☒ Where can I find my Unique ID?

Please review the bottom left side of the correspondence you received from Kroll to locate your 16 digit Unique ID.

Responses may be filed electronically or by mail. For more information on filing a response, please visit <https://restructuring.ra.kroll.com/blockfi>.

Claim Number: 1234

Claimant Name: [REDACTED]

Link to Your Objection Information: [click here to download](#)

Unique ID: XXXX-XXXX-XXXX-XXXX

This document download token is single use, and will only be valid for 1 hour. If you need to generate a new secure document download token, you can do so at any time by returning to the this email and requesting a new document download link.



## Claim Information

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Claim Number

21555

Claim Name

[REDACTED]

Claimant Address on File

[REDACTED]

To the extent different from the pre-populated address above, please provide your or your attorney's name, address, telephone number, and email address where the attorneys for the Debtors should serve a reply to the Response you are submitting on this portal.

Name:

[REDACTED]

Street1

[REDACTED]

Street2

City

[REDACTED]

State

[REDACTED]

Zip

[REDACTED]

Country

[REDACTED]

Telephone Number

[REDACTED]

Email Address

[REDACTED]

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Upload Here

 BlockFi 3326977847261840.pdf	138 KB
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## Signature

Sign Here



Print Name

Email Address


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**Debtor: BlockFi Inc., et al.**  
**Jointly Administered Case Number: 22-19361 (MBK)**  
**Exhibit B: Identifiable Unliquidated Claims**

Claim Number	Claimant	Capped Admin	Capped Secured	Capped Priority	Capped Unsecured	Matched with Scheduled Claim(s)
21555		\$0	\$0	\$0	\$1472.16-BlockFi Inc.	Yes

For the avoidance of doubt, where no Capped Amount is listed for a specified claim type for a debtor, the Capped Amount for that claim type against that debtor shall be \$0.



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[REDACTED]

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### Claim Information

Claim Number

21561

Claim Name

[REDACTED]

Claimant Address on File

[REDACTED]

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[REDACTED]

Street1

[REDACTED]



Street2

[REDACTED]

City

[REDACTED]

State

[REDACTED]

Zip

[REDACTED]

Country

[REDACTED]

Telephone Number

[REDACTED]

Email Address

[REDACTED]

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Name:

[REDACTED]

Street1

[REDACTED]

Street2

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Zip

[REDACTED]

Country

[REDACTED]

Telephone Number

[REDACTED]

Email Address

[REDACTED]

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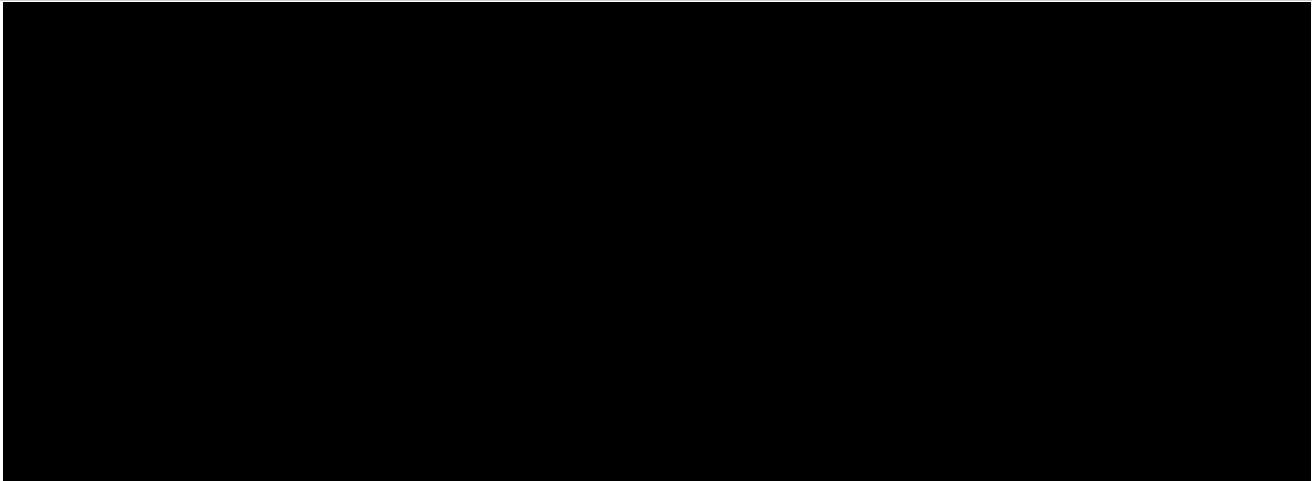


Upload Here

 proof of claim Case number 22-19361 Interest acct kwe.pdf	3 MB
 October2022 BlockFi Wallet Statement.pdf	24 KB
 BlockFi pdf as of Jan 7 2024 [REDACTED].pdf	165 KB

Signature

Sign Here



Print Name

[REDACTED]

Email Address

[REDACTED]

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If you have one or more Interest-Bearing accounts (i.e. BlockFi Interest Accounts or BlockFi Private Client Fixed or Open Loans), fill in this information to identify the case:

**If you are a U.S. client:**

BlockFi Interest Account: ☒ BlockFi Inc. (Case No. 22-19361)  
BlockFi Private Client Fixed or Open Loans: ☐ BlockFi Lending LLC (Case No. 22-19365)

**If you are a non-U.S. client:**

☐ BlockFi International Ltd. (Case No. 22-19368)

**Other:**

☐ \_\_\_\_\_  
(Debtor Name)  
\_\_\_\_\_  
(Case Number)

MMLID: [REDACTED]  
EPOCID: [REDACTED]  
Account ID: [REDACTED]

**See Notice of Scheduled  
Claim(s)**

**Modified Official Form 410**

**Interest Bearing Account Holder Proof of Claim Form**

04/22

Read the instructions before filling out this form. This form is for making a claim based on assets held in account of the Debtors. Do not use this form to assert any other pre-petition claims. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents**; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed (November 28, 2022).

**Part 1: Identify the Claim**

1. Who is the current creditor?	Epley, Kim	
	Name of the current creditor (the person or entity to be paid for this claim)	
	Other names the creditor used with the debtor	
	Customer Identification Number(s): [REDACTED]	
Email Address Used to Sign Up for your BlockFi Account(s):		
2. Has this claim been acquired from someone else?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes. From whom? _____	
3. Where should notices and payments to the creditor be sent?  Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
	[REDACTED]	Name
		Number Street
		City State ZIP Code
		Country (If outside of the US)
	Contact phone	Contact phone
	Contact email	Contact email



221936104373936



4. Does this claim amend one already filed? ☒ No  
☐ Yes. Claim number on court claims registry (if known) \_\_\_\_\_ Filed on \_\_\_\_ / \_\_\_\_ / \_\_\_\_

5. Do you know if anyone else has filed a proof of claim for this claim? ☒ No  
☐ Yes. Who made the earlier filing? \_\_\_\_\_

**Part 2: Give Information About the Claim as of November 28, 2022**

6. Do you have any number you use to identify the debtor? ☐ No  
☒ Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: [REDACTED]

**7a. To the extent that you assert a claim that is denominated in US Dollars, list the value of the claim in US Dollars as of the date the case was filed (November 28, 2022)**

**U.S. Clients:**  
BlockFi Interest Account (BlockFi Inc.; Case No. 22-19361) \$ 113,810.03  
BlockFi Private Client Fixed or Open Loans (BlockFi Lending LLC; Case No. 22-19365) \$ \_\_\_\_\_

**Non-U.S. Clients:**  
BlockFi Interest Account (BlockFi International Ltd.; Case No. 22-19368) \$ \_\_\_\_\_  
BlockFi Private Client Fixed or Open Loans (BlockFi International Ltd.; Case No. 22-19368) \$ \_\_\_\_\_

**7b. List the number of each type of coin held in Interest Bearing Account(s) as of the date the case was filed (November 28, 2022) (i.e., list the IN KIND COIN BALANCE in Interest Bearing Account(s) as of the date the case was filed).**

Coin	Price as of November 28, 2022, at 11:59 p.m. UTC	Interest Bearing Accounts	
		BlockFi Interest Account(s)	BlockFi Private Client Fixed or Open Loans
Bitcoin (BTC)	\$16,206.3000	85,699.56	
Ethereum (ETH)	\$1,167.2400	28,110.47	
Gemini Dollar (GUSD)	\$1.0000		
USD Coin (USDC)	\$1.0000		
1inch Network1 (INCH)	\$0.5170		
Aave (AAVE)	\$60.1500		
Algorand (ALGO)	\$0.2359		
Avalanche (AVAX)	\$12.4500		
Axie Infinity (AXS)	\$6.5600		
Basic Attention Token (BAT)	\$0.22322		
Binance Coin (BNB)	\$292.7865		
Bitcoin Cash (BCH)	\$109.9100		
Binance USD (BUSD)	\$1.0000		
Cardano (ADA)	\$0.3064		
Chainlink (LINK)	\$7.1940		
Celo (CELO)	\$0.6170		
Chiliz (CHZ)	\$0.1642		
Compound (COMP)	\$37.1600		
Cosmos (ATOM)	\$9.8590		
Curve DAO Token (CRV)	\$0.6450		
Decentraland (MANA)	\$0.3862		
DogeCoin (DOGE)	\$0.09506		
dYdX (DYDX)	\$1.6660		
Elrond (EGLD)	\$42.1390		



Coin	Price as of November 28, 2022, at 11:59 p.m. UTC	Interest Bearing Accounts	
		BlockFi Interest Account(s)	BlockFi Private Client Fixed or Open Loans
Enjin Coin (ENJ)	\$0.2960		
EOS (EOS)	\$0.9034		
Fantom (FTM)	\$0.2049		
Filecoin (FIL)	\$4.2530		
FTX Token (FTT)	\$1.2923535		
Gala (GALA)	\$0.02478		
Hedera Hashgraph (HBAR)	\$0.0486		
Kusama (KSM)	\$25.5900		
Litecoin (LTC)	\$73.8500		
Loopring (LRC)	\$0.2368		
Maker (MKR)	\$645.4100		
Multi Collateral Dai (DAI)	\$0.9997		
Near (NEAR)	\$1.5900		
OMG Network (OMG)	\$1.1605		
One Coin (ONE)	\$0.0137		
PAX Gold (PAXG)	\$1,740.6400		
Paxos Standard Token (PAX)	\$1.0000		
Polkadot (DOT)	\$5.1340		
Polygon (MATIC)	\$0.8209		
Quant (QNT)	\$112.4100		
Render Token (RNDR)	\$0.4672		
Serum (SRM)	\$0.2330		
Solana (SOL)	\$13.3200		
Stellar (XLM)	\$0.087183		
SushiSwap (SUSHI)	\$1.3348		
Synthetix (SNX)	\$1.6620		
Terra 2.0 (LUNA)	\$0.0001552		
Tether (USDT)	\$0.9995		
Tezos (XTZ)	\$0.9750		
The Graph (GRT)	\$0.0622		
The Sandbox (SAND)	\$0.5531		
THORchain (RUNE)	\$1.1670		
Tron (TRX)	\$0.052973		
TrueUSD (TUSD)	\$0.9997		
Uniswap (UNI)	\$5.2830		
Waves (WAVES)	\$2.3300		
Yearn.Finance (YFI)	\$6,266.5000		
Other (Please Specify)			



8. What is the basis of the claim?

Examples: Client Account, goods sold, money loaned, lease, services performed, or personal injury/wrongful death.  
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).  
Limit disclosing information that is entitled to privacy, such as health care information.

client Account

9. Is all or part of the claim secured?

☒ No

☐ Yes. The claim is secured by a lien on property.

Nature of property:

☐ Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.

☐ Motor vehicle

☐ Other. Describe: \_\_\_\_\_

Basis for perfection: \_\_\_\_\_

Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ \_\_\_\_\_

Amount of the claim that is secured: \$ \_\_\_\_\_

Amount of the claim that is unsecured: \$ \_\_\_\_\_ (The sum of the secured and unsecured amounts should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ \_\_\_\_\_

Annual Interest Rate (when case was filed) \_\_\_\_\_ %

☐ Fixed

☐ Variable

10. Is this claim based on a lease?

☒ No

☐ Yes. Amount necessary to cure any default as of the date of the petition. \$ \_\_\_\_\_

11. Is this claim subject to a right of setoff?

☒ No

☐ Yes. Identify the property: \_\_\_\_\_

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

☒ No

☐ Yes. Check one:

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

☐ Up to \$3,350\* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

☐ Wages, salaries, or commissions (up to \$15,150\*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

☐ Other. Specify subsection of 11 U.S.C. § 507(a)( ) that applies.

Amount entitled to priority

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\$ \_\_\_\_\_

\* Amounts are subject to adjustment on 4/01/25 and every 3 years after that for cases begun on or after the date of adjustment.



**Part 3: Sign Below**

The person completing this proof of claim must sign and date it.  
FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both.  
18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- ☒ I am the creditor.  
☐ I am the creditor's attorney or authorized agent.  
☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.  
☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 03/11/2023 (mm/dd/yyyy)

[Redacted Signature]

Signature

Name of the person who is completing and signing this claim:

Name

First name

Middle name

Last name

Title

Company

Identify the corporate servicer as the company if the authorized agent is a servicer.

Address

Number

Street

City

State

ZIP Code

Contact phone

Email



**Notice of Scheduled Claim(s)**

Debtor BlockFi Inc. has listed your claim on Schedule E/F, Part 2 as a General Unsecured claim in the amount of \$114,008.89. The basis of this claim is BIA. If you agree with the claim amount and characterization, you do not need to submit this claim form. If you disagree, you must timely file a proof of claim form or be forever barred from further recovery.

Debtor BlockFi Inc. has listed your claim on Schedule E/F, Part 2 as a General Unsecured claim in the amount of \$177.24. The basis of this claim is BIA Interest. If you agree with the claim amount and characterization, you do not need to submit this claim form. If you disagree, you must timely file a proof of claim form or be forever barred from further recovery.






- Clients that initiated a withdrawal request and need to verify their identity must complete the Identity Verification requests by January 12, 2024, at 11:59 PM UTC.


More information about withdrawals and balances can be found in our FAQ [here](#).


Dashboard / Interest Account

# Interest Account



Assets

 Overview

 Bitcoin BTC

 Ethereum ETH

## Your Assets

Asset	Quantity	
 Ethereum ETH	24.08285013 ETH	>
 Bitcoin BTC	5.30031057 BTC	>

## Recurring Trades



Recurring Trade	Buy Amount	Frequency	Scheduled
-----------------	------------	-----------	-----------

You have not set up any recurring trades.

Transactions

Your transaction history has moved. Visit the Dashboard to view Interest Account transactions.

[Go to Dashboard](#)

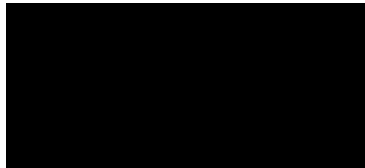





201 Montgomery St, 2nd Floor, Suite 263  
Jersey City, NJ 07302 USA

## October 2022 BlockFi Wallet Statement

Name  
Email  
Address



Account ID  
Month Ending

  
October 31, 2022

You earned approximately  
**\$177.99 \*** worth of crypto.

Crypto Prices*	Credit Card Rewards Earned	Referral/Bonus Earned	Ending Balance
<b>1 BTC</b> \$20,492.00	0	0	<b>0.80136403</b> \$16,421.55
<b>1 GUSD</b> \$1.00	0	<b>177.99000000</b> \$177.99	<b>178.40888406</b> \$178.41
<b>Total* in USD</b>	<b>\$0.00</b>	<b>\$177.99</b>	<b>\$16,599.96</b>



## October 2022 BlockFi Interest Account Statement

**Flex Selection**

Earn all interest in BTC

**Month Ending**

October 31, 2022

You earned approximately

**\$251.45 \*** worth of crypto.

Crypto Prices*	Interest Earned	Ending Balance
<b>1 BTC</b> \$20,492.00	<b>0.01227085</b> \$251.45	<b>5.30031057</b> \$108,613.96
<b>1 ETH</b> \$1,572.90	0	<b>24.08285013</b> \$37,879.91
<b>Total* in USD</b>	<b>\$251.45</b>	<b>\$146,493.87</b>

\* Amounts in USD are based on the closing price per CoinMarketCap and are for information purposes only.

Actual balances are denominated in cryptocurrency, not USD.

Actual amounts will vary based on activity, rates, and compliance with BlockFi's [terms & conditions](#).



## BlockFi Capping Motion Response Portal

### Introduction

On November 28, 2022, BlockFi Inc. and 8 affiliated debtors (collectively, "BlockFi") each filed a voluntary petition for relief under Chapter 11 of the United States Bankruptcy Code in the United States Bankruptcy Court for the District of New Jersey (the "Court"). Thereafter, the Bankruptcy Court set March 31, 2023, at 5:00 p.m., as the deadline for all persons and entities that assert a claim against BlockFi, arising prior to November 28, 2022, to timely file a proof of claim.

On December 20, 2023, BlockFi, as supervised by the Plan Administrator, filed the Plan Administrator's Motion for an Order Capping the Maximum Allowable Amounts and Establishing a Reserve for All Claims to Enable the First Interim Distribution (the "Motion"). If you filed a claim against BlockFi and your claim is affected by the Motion, the Motion and information pertaining to your claim was sent to you by Kroll Restructuring Administration LLC ("Kroll") by email or first class mail on December 26, 2023.

In order to assist the Court with collecting responses to the Motion, Kroll is collecting responses electronically through this portal or by hard copy at the address below. **For your response to be timely, it must be received by Kroll on or before January 9, 2024 at 4:00 p.m. prevailing Eastern Time.**

#### First Class Mail

BlockFi Inc. Cap Response Processing Center  
c/o Kroll Restructuring Administration LLC  
Grand Central Station, PO Box 4850  
New York, NY 10163-4850

#### Overnight Mail

BlockFi Inc. Cap Response Processing Center  
c/o Kroll Restructuring Administration LLC  
850 Third Avenue, Suite 412  
Brooklyn, NY 11232

Please follow the steps below to logon to this portal and to submit your response to the Motion. If you have any questions on this portal please feel free to reach out to Kroll by phone at (888) 773-0375 (Toll Free) or (646) 440-4371 (International), or by email at [blockfiinfo@ra.kroll.com](mailto:blockfiinfo@ra.kroll.com).

### Authorization

On December 26, 2023, Kroll sent you an email regarding BlockFi's Motion. This email contains a 16-digit Unique ID number. Please enter the Unique ID number in the field below to enter this portal. Make one submission per Unique ID number. Each Unique ID may be used only once.

Please enter your Unique ID here

[REDACTED]

☐ Where can I find my Unique ID?

### Claim Information

Claim Number

21564

Claim Name

[REDACTED]

Claimant Address on File

[REDACTED]

**To the extent different from the pre-populated address above, please provide your or your attorney's name, address, telephone number, and email address where the attorneys for the Debtors should serve a reply to the Response you are submitting on this portal.**

Name:

[REDACTED]

Street1

[REDACTED]



Street2

[REDACTED]

City

[REDACTED]

State

[REDACTED]

Zip

[REDACTED]

Country

[REDACTED]

Telephone Number

[REDACTED]

Email Address

[REDACTED]

To the extent different from the pre-populated address above, the name, address, telephone number, and email address of the party with authority to reconcile, settle, or otherwise resolve the Motion on your behalf.

Name:

[REDACTED]

Street1

[REDACTED]

Street2

[REDACTED]

City

[REDACTED]

State

[REDACTED]

Zip

[REDACTED]

Country

[REDACTED]

Telephone Number

[REDACTED]

Email Address




[REDACTED]

## Document Upload

Please upload your response to the Motion in the box below. You may upload up to 3 documents in either .PDF or .DOC format. Each document may be up to 20 MBs or 30 MBs across all documents.



Upload Here

 DOLLAR AMOUNT CLAIM SCHEDULE B_ BLOCKFI LETTER_ALBATROSS_Dec 2023.pdf	128 KB
 ALBATROSS Inc. BLOCKFI_1099 2021.pdf	290 KB
 INTEREST ACCOUNT_Electronic Proof of Claim - 3[REDACTED].pdf	191 KB

Signature

Sign Here



Print Name



Email Address



☒ I certify that I have completed my E-registration form on the Kroll Restructuring Administration Forms Portal. I hereby agree that my electronic signature herein complies with the U.S. federal ESIGN Act of 2000, and accordingly shall have the same legal effect as my original signature.

If you have any questions on this portal please feel free to reach out to Kroll by phone at (888) 773-0375 (Toll Free) or (646) 440-4371 (International), or by email at [blockfiinfo@ra.kroll.com](mailto:blockfiinfo@ra.kroll.com).



Proof of Claim Number: 21564

12/28/2023

Customer ID # [REDACTED]

Epoch ID # [REDACTED]

BlockFi Debtor Entity: Kroll/Debtors

Claim Amount: Unliquidated \$66,701.79

UNIQUE ID: [REDACTED]

To: Kroll Restructuring Administration LLC,

The BlockFi Inc., *et al.*, Case No. 22-19361, United States Bankruptcy Court for the District of New Jersey has confirmed that I have been identified as Schedule B Claimant # 21564 "Matched with Schedule Claims". **This should be corrected and the identification should be placed under Schedule A.**

This dollar amount at the time of Blockfi's Chapter 11 bankruptcy on Nov 28th 2022 amounts to **\$66,701.79. (\$16,206.30 x 2.04 BTC and \$ 1,167.24 x 28.75 ETH)**

**I have been correctly matched and I am entitled to claim this dollar amount.**

The dollar amount was not initially specified by me on the "BlockFi Inc. Interest Bearing Account Holder Proof of Claim Form" because there was no specific section instructing the claimant to do so. There is an "Additional Claim Information" section but this was not in my understanding an "additional claim".

**I am attaching again, further documents to support my claim to the amount I am owed: \$66, 701.79 as "Matched with Scheduled Claims under Schedule B" for the 2.04 BTC and 28.75 ETH lost by BlockFi, Inc. from the Interest Bearing Account (IBA) and should be re-designated to Schedule A to ensure what I am owed.**

If there is any other specific proof you require, please do not hesitate to contact me so that I can provide it.

Thank you  
Sincerely,

[REDACTED]



## BlockFi Inc. Interest Bearing Account Holder Proof of Claim Form

### Electronic Proof of Claim ID

If you have an EPOC ID please enter it below and select next to proceed with your claim submission. EPOC IDs can be located on the pre-printed proof of claim forms sent via first-class mail.

EPOC ID

EPOC IDs are not required to submit a claim. If you cannot locate your EPOC ID or do not have an EPOC ID, please select next to continue with your claim submission.

### Instructions

**Read the instructions before filling out this form. This form is for making a claim based on assets held in account of the Debtors. Do not** use this form to assert any other pre-petition claims. **Do not** use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

**Filers must leave out or redact** information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. **Do not send original documents;** they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

**Fill in all the information about the claim as of the date the case was filed (November 28, 2022).**

☒ Check here to see further instructions on completing your claim form:

### Debtor Selection

**If you have one or more Interest-Bearing accounts (i.e. BlockFi Interest Accounts or BlockFi Private Client Fixed or Open Loans), fill in this information to identify the case:**

☒ U.S. client

☐ Non-U.S. client

☒ **BlockFi Interest Account:** BlockFi Inc. (Case No. 22-19361)

☐ **BlockFi Private Client Fixed or Open Loans:** BlockFi Lending LLC (Case No. 22-19365)

☐ Other

### Claim Information

#### 1. Who is the current creditor?

Other names the creditor used with the debtor

Customer Identification Number(s):

Email Address Used to Sign Up for your BlockFi Account(s):

#### 2. Has this claim been acquired from someone else?

☒ No

☐ Yes



**3. Where should notices and payments to the creditor be sent?**

[Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)]

**Where should notices to the creditor be sent?**

Name:

Address 1 (Street address, "Care of:", or "Attention To:"):

Address 2:

Address 3:

Address 4:

City:

State or Province (use 2-letter abbreviation if US or Canada):

Zip Code | Postal Code:

Is the creditor address outside of the US?

- ☒ No  
☐ Yes

Contact phone:

Contact email:

Should payments go to a different address?

- ☒ No  
☐ Yes

**Would you like to add any additional noticing addresses?**

- ☒ No  
☐ Yes

**4. Does this claim amend one already filed?**

- ☒ No  
☐ Yes

**5. Do you know if anyone else has filed a proof of claim for this claim?**

- ☒ No  
☐ Yes

**6. Do you have any number you use to identify the debtor?**

- ☒ No  
☐ Yes

**Claim Amount**

\* 7a. To the extent that you assert a claim that is denominated in US Dollars, list the value of the claim in US Dollars as of the date the case was filed (November 28, 2022)



BlockFi Interest Account (BlockFi Inc.; Case No. 22-19361)

66596.636227

**\* 7b. List the number of each type of coin held in your Wallet account(s) as of the date the case was filed (November 28, 2022) (i.e., list the IN KIND COIN BALANCE in your Wallet account(s) as of the date the case was filed).**

Please use only numerals and decimals in the BlockFi Interest Account(s) and BlockFi Private Client Fixed or Open Loans fields, up to a maximum of 21 digits or 20 digits and 1 decimal.

## Interest Bearing Accounts

Coin	Price as of November 28, 2022, at 11:59 p.m. UTC	BlockFi Interest Account(s)	BlockFi Private Client Fixed or Open Loans
Bitcoin (BTC)	\$ 16,206.3000	2.03869519	
Ethereum (ETH)	\$ 1,167.2400	28.74895512	
Gemini Dollar (GUSD)	\$ 1.0000		
USD Coin (USDC)	\$ 1.0000		
1inch Network1 (INCH)	\$ 0.5170		
Aave (AAVE)	\$ 60.1500		
Algorand (ALGO)	\$ 0.2359		
Avalanche (AVAX)	\$ 12.4500		
Axie Infinity (AXS)	\$ 6.5600		
Basic Attention Token (BAT)	\$ 0.22322		
Binance Coin (BNB)	\$ 292.7865		



Coin	Price as of November 28, 2022, at 11:59 p.m. UTC	BlockFi Interest Account(s)	BlockFi Private Client Fixed or Open Loans
Bitcoin Cash (BCH)	\$ 109.9100		
Binance USD (BUSD)	\$ 1.0000		
Cardano (ADA)	\$ 0.3064		
Chainlink (LINK)	\$ 7.1940		
Celo (CELO)	\$ 0.6170		
Chiliz (CHZ)	\$ 0.1642		
Compound (COMP)	\$ 37.1600		
Cosmos (ATOM)	\$ 9.8590		
Curve DAO Token (CRV)	\$ 0.6450		
Decentraland (MANA)	\$ 0.3862		
DogeCoin (DOGE)	\$ 0.09506		
dYdX (DYDX)	\$ 1.6660		
Elrond (EGLD)	\$ 42.1390		
Enjin Coin (ENJ)	\$ 0.2960		



Coin	Price as of November 28, 2022, at 11:59 p.m. UTC	BlockFi Interest Account(s)	BlockFi Private Client Fixed or Open Loans
EOS (EOS)	\$ 0.9034		
Fantom (FTM)	\$ 0.2049		
Filecoin (FIL)	\$ 4.2530		
FTX Token (FTT)	\$ 1.2923535		
Gala (GALA)	\$ 0.02478		
Hedera Hashgraph (HBAR)	\$ 0.0486		
Kusama (KSM)	\$ 25.5900		
Litecoin (LTC)	\$ 73.8500		
Loopring (LRC)	\$ 0.2368		
Maker (MKR)	\$ 645.4100		
Multi Collateral Dai (DAI)	\$ 0.9997		
Near (NEAR)	\$ 1.5900		
OMG Network (OMG)	\$ 1.1605		
One Coin (ONE)	\$ 0.0137		



Coin	Price as of November 28, 2022, at 11:59 p.m. UTC	BlockFi Interest Account(s)	BlockFi Private Client Fixed or Open Loans
PAX Gold (PAXG)	\$ 1,740.6400		
Paxos Standard Token (PAX)	\$ 1.0000		
Polkadot (DOT)	\$ 5.1340		
Polygon (MATIC)	\$ 0.8209		
Quant (QNT)	\$ 112.4100		
Render Token (RNDR)	\$ 0.4672		
Serum (SRM)	\$ 0.2330		
Solana (SOL)	\$ 13.3200		
Stellar (XLM)	\$ 0.087183		
SushiSwap (SUSHI)	\$ 1.3348		
Synthetix (SNX)	\$ 1.6620		
Terra 2.0 (LUNA)	\$ 0.0001552		
Tether (USDT)	\$ 0.9995		
Tezos (XTZ)	\$ 0.9750		



Coin	Price as of November 28, 2022, at 11:59 p.m. UTC	BlockFi Interest Account(s)	BlockFi Private Client Fixed or Open Loans
<input type="text" value="The Graph (GRT)"/>	<input type="text" value="\$ 0.0622"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="The Sandbox (SAND)"/>	<input type="text" value="\$ 0.5531"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="THORchain (RUNE)"/>	<input type="text" value="\$ 1.1670"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="Tron (TRX)"/>	<input type="text" value="\$ 0.052973"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="TrueUSD (TUSD)"/>	<input type="text" value="\$ 0.9997"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="Uniswap (UNI)"/>	<input type="text" value="\$ 5.2830"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="Waves (WAVES)"/>	<input type="text" value="\$ 2.3300"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="Yearn.Finance (YFI)"/>	<input type="text" value="\$ 6,266.5000"/>	<input type="text"/>	<input type="text"/>

Do you hold additional coins in your account not listed above?

- ☐ No
- ☐ Yes

## Additional Claim Information

8. What is the basis of the claim? (limited to 100 characters)

9. Is all or part of the claim secured?

- ☒ No
- ☐ Yes. The claim is secured by a lien on property.

Nature of property:

- ☐ Real estate.
- ☐ Motor vehicle
- ☐ Other.

Basis for perfection:

Value of property (all amounts in US \$ dollars):



Amount of the claim that is secured (all amounts in US \$ dollars):

Amount of the claim that is unsecured (all amounts in US \$ dollars):

Amount necessary to cure any default as of the date of the petition (all amounts in US \$ dollars):

Interest Rate Type:

- ☐ Fixed  
☐ Variable

Annual Interest Rate (when case was filed) %:

10. Is this claim based on a lease?

- ☒ No  
☐ Yes

Amount necessary to cure any default as of the date of the petition (all amounts in US \$ dollars).

11. Is this claim subject to a right of setoff?

- ☒ No  
☐ Yes

Identify the property:

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

- ☒ No  
☐ Yes

☐ Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

☐ Up to \$3,350 of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

☐ Wages, salaries, or commissions (up to \$15,150\*) earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

☐ Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

☐ Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

☐ Other

## E-Sign

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

- ☒ I am the creditor.  
☐ I am the creditor's attorney or authorized agent.  
☐ I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.  
☐ I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.



I understand that an authorized signature on this Proof of Claim serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

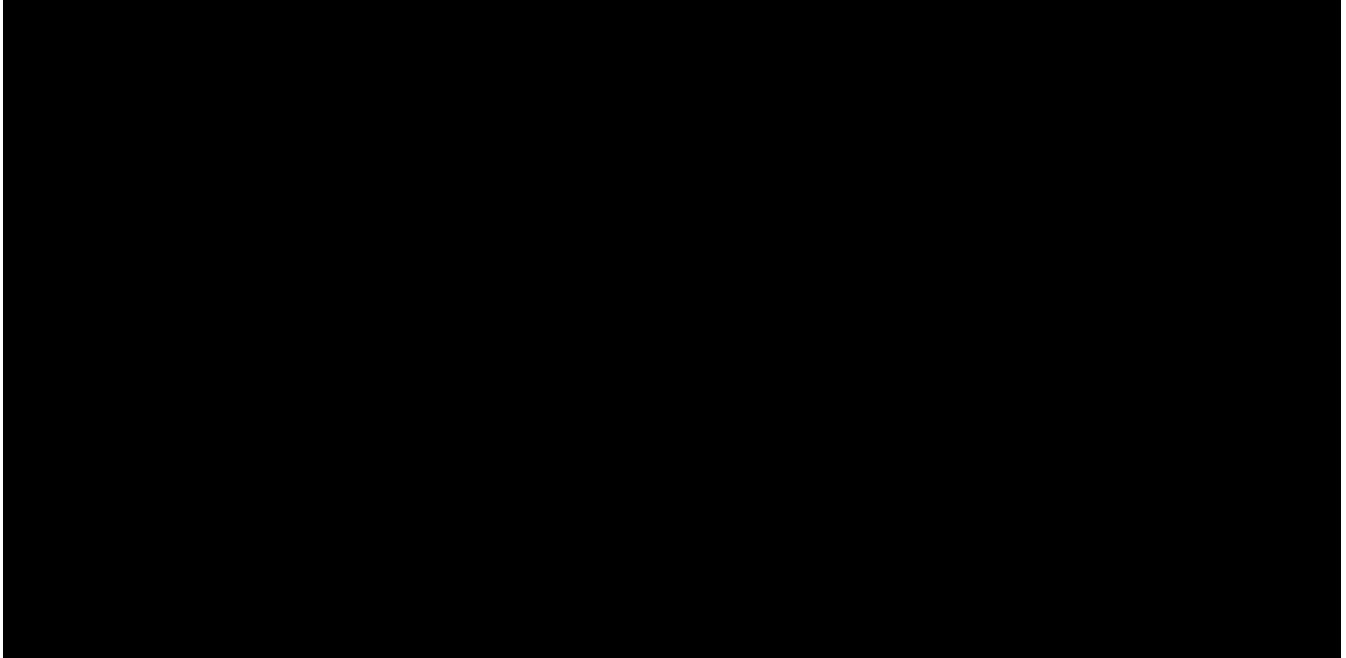
I have examined the information in this Proof of Claim and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date (Calculated in UTC)

03/12/2023

Signature

A large black rectangular box redacting the signature area.

I certify that I have completed my Proof of Claim form on the Kroll Restructuring Administration Portal. I hereby agree that my electronic signature herein complies with the ESIGN Act, and accordingly shall have the same legal effect as my original signature.

☒ I agree

**Name of the person who is completing and signing this claim:**

First name

[REDACTED]

Middle Name

[REDACTED]

Last name

[REDACTED]

Title

[REDACTED]

Company

[REDACTED]

Address 1:

[REDACTED]



Address 2:

[REDACTED]

Address 3:

[REDACTED]

Address 4:

[REDACTED]

City:

[REDACTED]

State or Province (use 2-letter abbreviation if US or Canada):

[REDACTED]

Zip Code | Postal Code:

[REDACTED]

Is the address outside of the US?

- ☒ No  
☐ Yes

Contact phone:

[REDACTED]

Contact email:

[REDACTED]

## Attachments

---

**Attach Support Documentation (limited to a single PDF attachment that is less than 5 megabytes in size):**

- ☐ I have supporting documentation  
☒ I do not have supporting documentation



Confirmation of Submission






**Your Form has been successfully submitted...**

DOCUMENT ID	Submitted Date Time
<div></div>	<div>2023-03-12T01:52:01.852Z</div>
Status	CONFIRMATION ID
<div>Submitted</div>	<div></div>
Submission Email Address	
<div></div>	

Submission Information

When you press "Submit" you will receive an email from "noreply.efiling@ra.kroll.com." Please add this email to your allowed senders list. This email will have a PDF copy of your claim filing (with your supporting documents as a separate attachment), as well as your Confirmation ID.



PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no.  <b>BLOCKFI LENDING LLC</b> 201 Montgomery Street, Suite 263 Jersey City, NJ 07302 (646) 779-9688		1 Rents	OMB No. 1545-0115	<b>Miscellaneous Information</b>  <b>Copy B</b> <b>For Recipient</b>
		\$	Form <b>1099-MISC</b> (Rev. January 2022)	
		2 Royalties	For calendar year 20 <u>21</u>	
		\$		
		3 Other income \$2325.15	4 Federal income tax withheld \$	
PAYER'S TIN 	RECIPIENT'S TIN 	5 Fishing boat proceeds \$	6 Medical and health care payments \$	
RECIPIENT'S name  Street address (including apt. no.)  City or town, state or province, country, and ZIP or foreign postal code 		7 Payer made direct sales totaling \$5,000 or more of consumer products to recipient for resale <input type="checkbox"/>	8 Substitute payments in lieu of dividends or interest \$	This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
		9 Crop insurance proceeds \$	10 Gross proceeds paid to an attorney \$	
		11 Fish purchased for resale \$	12 Section 409A deferrals \$	
		13 FATCA filing requirement <input type="checkbox"/>	14 Excess golden parachute payments \$	
Account number (see instructions)		16 State tax withheld \$	17 State/Payer's state no.	18 State income \$



## Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the payer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

**Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

**Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

**Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

**Box 6.** For individuals, report on Schedule C (Form 1040).

**Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

**Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

**Box 9.** Report this amount on Schedule F (Form 1040).

**Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

**Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.

**Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.

**Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

**Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

**Boxes 16–18.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File Program.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.



